

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Docket No: 06005/39537

**PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. 1.53**



Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of

Inventors: Gary Law, Michael G. Ott, Kent A. Burr, Godfrey R. Sherriff, and Julian Naidoo

Title: STATE MACHINE FUNCTION BLOCK WITH A USER MODIFIABLE STATE  
TRANSITION CONFIGURATION DATABASE

**1. Type of Application**

- ☒ This is a new application for a
- ☒ utility patent.
- ☐ design patent.
- ☐ This is a continuation-in-part application of prior application.

**2. Application Papers Enclosed**

- 1 Title Page
- 24 Pages of Specification (excluding Claims, Abstract, Sequence Listing & Drawings)
- 18 Page(s) of Claims
- 1 Page(s) of Abstract
- 13 Sheet(s) of Drawings (Figs. 1 to 17)
- ☒ Formal
- ☐ Informal
- 0 Page(s) of Sequence Listing

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on September 5, 2003, in an envelope addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EV 323 774 832 US.

Richard Zimmerman

**3. Declaration or Oath**

- ☐ Enclosed
- ☐ Executed by (check all applicable boxes)
- ☐ Inventor(s)
- ☐ Legal representative of inventor(s)  
(37 CFR 1.42 or 1.43)
- ☐ Joint inventor or person showing a proprietary interest on behalf of  
inventor who refused to sign or cannot be reached
- ☐ The petition required by 37 CFR 1.47 and the statement  
required by 37 CFR 1.47 are enclosed. See Item 5D  
below for fee.
- ☒ Not enclosed - the undersigned attorney or agent is authorized to file this application  
on behalf of the applicant(s). An executed declaration will follow.

**4. Small Entity Status**

- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ A small entity statement is(are) attached.

**5. Additional Papers Enclosed**

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Declaration of Biological Deposit
- ☐ Computer readable copy of sequence listing containing nucleotide and/or amino  
acid sequence
- ☐ Microfiche computer program
- ☐ Associate Power of Attorney
- ☐ Verified translation of a non-English patent application
- ☐ An assignment of the invention
- ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☒ Return receipt postcard
- ☐ Other: Application Data Sheet

6. **Priority Applications Under 35 USC 119**

Certified copies of applications from which priority under 35 USC 119 is claimed are listed below and

☐ are attached.

☐ will follow.

COUNTRY	APPLICATION NO.	FILED

7. **Filing Fee Calculation (37 CFR 1.16)**

A. ☒ **Utility Application**

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT(S) (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$375.00		\$750.00
TOTAL	79 - 20	= 59	X 9 =	\$0.00	X 18 =	\$1062.00
INDEP.	4- 3	= 1	X 42 =	\$0.00	X 84 =	\$84.00
First Presentation of Multiple Dependent Claim			+ 140 =	\$0.00	+ 280 =	\$0.00
Filing Fee:				\$0.00	OR	\$1,788.00

B. ☐ **Design Application (\$165.00/\$330.00)**

Filing Fee: \$

C. ☐ **Plant Application (\$255.00/\$510.00)**

Filing Fee: \$

D. **Other Fees**

☐ Recording Assignment [Fee — \$40.00 per assignment]

\$

☐ Petition fee for filing by other than all the inventors  
or person on behalf of the inventor where inventor refused to sign  
or cannot be reached [Fee — \$130.00]

\$

☐ Other

\$

**Total Fees Enclosed \$1,788.00**

**8. Method of Payment of Fees**

- ☒ Enclosed check in the amount of: \$1,788
- ☐ Charge Deposit Account No. 13-2855 in the amount of: \$  
A copy of this Transmittal is enclosed.
- ☐ Not enclosed

**9. Deposit Account and Refund Authorization**

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 or under other applicable rules (except payment of issue fees), to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

**10. Correspondence Address**

Customer No.: 04743

Respectfully submitted,  
By:   
\_\_\_\_\_  
Gregory E. Stanton  
Reg. No: 45,127  
MARSHALL, GERSTEIN & BORUN  
6300 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6357  
(312) 474-6300  
(312) 474-0448 (Telefacsimile)

September 5, 2003

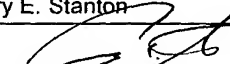
17236 U.S. PTO  
09/05/03

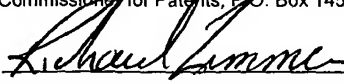
PTO/SB/17 (05-03)  
Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>FEE TRANSMITTAL</b> <b>for FY 2003</b> <small>Effective 01/01/2003, Patent fees are subject to annual revision.</small>		<b>Complete if Known</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	
		Not Yet Assigned	
		Filing Date	
		September 5, 2003 - Herewith	
		First Named Inventor	
Gary Law		Examiner Name	
Not Yet Assigned		Art Unit	
N/A		Attorney Docket No.	
TOTAL AMOUNT OF PAYMENT (\$)		1,788.00	
		06005/39537	

<b>METHOD OF PAYMENT (check all that apply)</b> <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account Deposit Account Number: 13-2855 Deposit Account Name: MARSHALL, GERSTEIN & BORUN LLP The Director is hereby authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																																																																					
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<b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>79</td> <td>-20** =</td> <td>59 x 18.00 =</td> <td>954.00</td> </tr> <tr> <td>Independent Claims</td> <td>4</td> <td>-3** =</td> <td>1 x 84.00 = 84.00</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>		Total Claims	Extra Claims	Fee from below	Fee Paid	79	-20** =	59 x 18.00 =	954.00	Independent Claims	4	-3** =	1 x 84.00 = 84.00	Multiple Dependent				<b>SUBTOTAL (3)</b> (\$) *Reduced by Basic Filing Fee Paid																																																																																																																																																																																																																					
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<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Gregory E. Stanton	Registration No. (Attorney/Agent)	45,127
Telephone	(312) 474-6300	Date	September 5, 2003
Signature			

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 323774832 US, in an envelope addressed to: MS Patent Application, Commission for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.	
Dated: September 5, 2003	Signature:  (Richard Zimmermann)